



PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **1** of **2**

### Complete if Known

|                        |                           |
|------------------------|---------------------------|
| Application Number     | 09/813,769                |
| Filing Date            | March 20, 2001            |
| First Named Inventor   | Makofka, Douglas, et. al. |
| Art Unit               | 2131                      |
| Examiner Name          |                           |
| Attorney Docket Number | 018926-002700US           |

### U.S. PATENT DOCUMENTS

| Examiner | Cite No. <sup>1</sup> | Document Number |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|----------|-----------------------|-----------------|-----------------------------------|--------------------------------|--|---|
|          |                       | Number          | Kind Code <sup>2</sup> (if known) |                                |  |   |
| BJ       | AA                    | US-5,847,751    |                                   | 12-08-1998                     | Safadi   |   |
|          | AB                    | US-6,301,662 B1 |                                   | 10-09-2001                     | Hardjono   |   |
|          | AC                    | US-             |                                   |                                |  |   |
|          | AD                    | US-             |                                   |                                |  |   |
|          | AE                    | US-             |                                   |                                |  |   |
|          | AF                    | US-             |                                   |                                |  |   |
|          | AG                    | US-             |                                   |                                |  |   |
|          | AH                    | US-             |                                   |                                |  |   |
|          | AI                    | US-             |                                   |                                |  |   |
|          | AJ                    | US-             |                                   |                                |  |   |
|          | AK                    | US-             |                                   |                                |  |   |
|          | AL                    | US-             |                                   |                                |  |   |
|          | AM                    | US-             |                                   |                                |  |   |
|          | AN                    | US-             |                                   |                                |  |   |
|          | AO                    | US-             |                                   |                                |  |   |
|          | AP                    | US-             |                                   |                                |  |   |
|          | AQ                    | US-             |                                   |                                |  |   |
|          | AR                    | US-             |                                   |                                |  |   |
|          | AS                    | US-             |                                   |                                |  |   |
|          | AT                    | US-             |                                   |                                |  |   |

RECEIVED

JAN 15 2003

Technology Center 2100

### FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup>           |
|-----------------------|--------------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|---|--|--------------------------|
|                       |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |                                |   |  |                          |
| DT                    | AU                       | PCT                       | WO 01/18673         | A1                                | 03-08-2001                     | General Instrument<br>Corp.                           |  | Y                        |
|                       | AV                       |                           |                     |                                   |                                |   |  | <input type="checkbox"/> |
|                       | AW                       |                           |                     |                                   |                                |   |  | <input type="checkbox"/> |
|                       | AX                       |                           |                     |                                   |                                |   |  | <input type="checkbox"/> |
|                       | AY                       |                           |                     |                                   |                                |   |  | <input type="checkbox"/> |
|                       | AZ                       |                           |                     |                                   |                                |   |  | <input type="checkbox"/> |
|                       | BA                       |                           |                     |                                   |                                |   |  | <input type="checkbox"/> |
|                       | BB                       |                           |                     |                                   |                                |   |  | <input type="checkbox"/> |

Examiner  
Signature

*Dan T. King*

Date  
Considered

*8/28/04*

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231  
SF 1420886 v1

BEST AVAILABLE COPY



PTO/SB/08B (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

|   |   |                          |                           |                        |                 |
|---|---|--------------------------|---------------------------|------------------------|-----------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   | <b>Complete if Known</b> |                           |                        |                 |
|   |   | Application Number       | 09/813,769                |                        |                 |
|   |   | Filing Date              | March 20, 2001            |                        |                 |
|   |   | First Named Inventor     | Makofka, Douglas, et. al. |                        |                 |
|   |   | Art Unit                 | 2131                      |                        |                 |
|   |   | Examiner Name            |                           |                        |                 |
| Sheet   | 2 | of                       | 2                         | Attorney Docket Number | 018926-002700US |

| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|--|--------------------------|---|----------------|
| Examiner<br>Initials *                             | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| DS   | BC                       | ZHOU, Lidong, et al., "Securing Ad Hoc Networks," IEEE Network, November/December 1999, pp. 24-30.  |                |
| DS   | BD                       | SIROIS, Karen, et al., "Securing the Nimrod Routing Architecture," IEEE, 1997, pp. 74-84.   |                |
| DS   | BE                       | MURPHY, S.L., et al., "Digital Signature Protection of the OSPF Routing Protocol," Proceedings of SNDSS, IEEE, 1996, pp. 93-102.  |                |
|  | BF                       |   |                |
|  | BG                       |   |                |
|  | BH                       |   |                |
|  | BI                       |   |                |
|  | BJ                       |   |                |
|  | BK                       |   |                |
|  | BL                       |   |                |
|  | BM                       |   |                |

RECEIVED  
JAN 15 2003  
Technology Center 2100

|                       |                       |                    |         |
|-----------------------|-----------------------|--------------------|---------|
| Examiner<br>Signature | <i>D. J. S. J. J.</i> | Date<br>Considered | 8/20/04 |
|-----------------------|-----------------------|--------------------|---------|

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
SF 1420886 v1

BEST AVAILABLE COPY